



# United Nations Peace Operations: Actors, Structures, and Challenges

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## Description

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Peace operations are a central tool in the United Nations (UN) pursuit of international peace and security. After its origin in the 1950s, with a focus on interstate conflicts and a period of limited activity during the Cold War, peace operations nowadays operate in many different settings and take on various forms. They are engaged in the prevention and management of international conflicts as well as post-conflict reconstruction. Since the 1990s, they are increasingly involved in intrastate conflicts in which state and non-state actors are involved.

Throughout its history, the UN's peace operations have been regarded as successes (e.g., Cambodia, El Salvador, Mozambique, and Namibia) and failures (e.g., Somalia, Rwanda, and the former Yugoslavia) alike, which is why an almost constant reform process has become part of their development. Despite various conceptual and practical improvements, current missions still face many challenges from the inside and outside. These include the increasing complexity of conflict scenarios, the limited political will of UN member states to engage in conflict management as well as cumbersome planning processes, and misconduct by peacekeeping personnel. This results in a number of tensions across which missions nowadays operate. Old principles such as the consensus among the conflict parties as the basis for sending peacekeepers in the first place, the impartiality of the mission on the ground, and the limited use of force seem not to fit the "new" conflict scenarios. At the same time, UN member states have different views on how UN peace operations should function and debate about their future. The overall political climate and the so-called crisis of the multilateral order also play a decisive role.

Against this background, the IOCM Summer School 2022 aims to take stock of UN peace operations by reviewing its historical development, pathbreaking documents like the UN Charter, Capstone Doctrine, and relevant reports. Furthermore, it will discuss the role of major political decision-making bodies and actors like the UN Security Council and Secretary-General, as well as relevant member states (e.g., P5, TCCs). It finally addresses current challenges to peace operations, like the erosion of fundamental principles, artificial intelligence, and climate change. All this will be the preparation for a 2-day simulation of the UN Security Council, in which the deployment of a UN peace operation has to be negotiated by the participants.

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## Schedule

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| <b>Thursday, July 21</b> |  |
| 09:00 – 10:30            | <b>Welcome and Introduction</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“<br/>Johannisplatz 26, 07743 Jena</i>                             |
| 10:45 – 12:15            | <b>The History and Evolution of UN Peace Operations</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“<br/>Johannisplatz 26, 07743 Jena</i>     |
| 12:15 – 13:15            | <b>Lunch Break</b>   |
| 13:15 – 14:45            | <b>Individual Preparation Time</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“<br/>Johannisplatz 26, 07743 Jena</i>                          |
| 15:00 – 16:00            | <b>Introduction to the Security Council Simulation</b><br><i>Marcel Schmeer, Planpolitik<br/>Zoom-Meeting</i>  |
| <b>Friday, July 22</b>   |  |
| 09:00 – 10:30            | <b>The UN Security Council and UN Peace Operations</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“<br/>Johannisplatz 26, 07743 Jena</i>      |
| 10:45 – 12:15            | <b>The Purposes of UN Peace Operations</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“<br/>Johannisplatz 26, 07743 Jena</i>                  |
| 12:15 – 13:15            | <b>Lunch Break</b>   |
| 13:15 – 16:00            | <b>Preparation Case Studies</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“<br/>Johannisplatz 26, 07743 Jena</i>                             |
| <b>Saturday, July 23</b> |  |
| TBA                      | <b>Excursion</b>   |
| <b>Monday, July 25</b>   |  |
| 09:00 – 10:30            | <b>Principles and Guidelines for UN Peace Operations</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“<br/>Johannisplatz 26, 07743 Jena</i>    |
| 10:45 – 12:15            | <b>The Structure and Functioning of UN Peace Operations</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“<br/>Johannisplatz 26, 07743 Jena</i> |

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|----------------------------|---|
| 12:15 – 13:00              | <b>Lunch Break</b>  |
| 13:00 – 14:30              | <b>Presentation Case Studies I</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“<br/>Johannisplatz 26, 07743 Jena</i>                     |
| 14:45 – 16:00              | <b>Preparation Background Analysis</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“<br/>Johannisplatz 26, 07743 Jena</i>                 |
| <b>Tuesday, July, 26</b>   |   |
| 09:00 – 10:30              | <b>Evaluating UN Peace Operations</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“<br/>Johannisplatz 26, 07743 Jena</i>                  |
| 10:45 – 12:15              | <b>Presenting Case Studies II</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“<br/>Johannisplatz 26, 07743 Jena</i>                      |
| 12:15 – 13:00              | <b>Lunch Break</b>  |
| 13:00 – 16:00              | <b>Preparation Position Papers</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“<br/>Johannisplatz 26, 07743 Jena</i>                     |
| <b>Wednesday, July, 27</b> |   |
| 09:00 – 10:30              | <b>Challenges to UN Peace Operations</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“<br/>Johannisplatz 26, 07743 Jena</i>               |
| 10:45 – 12:15              | <b>Presenting Case Studies III</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“<br/>Johannisplatz 26, 07743 Jena</i>                     |
| 12:15 – 13:00              | <b>Lunch Break</b>  |
| 13:00 – 14:30              | <b>Debating the Added Value of UN Peace Operations</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“<br/>Johannisplatz 26, 07743 Jena</i> |
| 14:45 – 16:00              | <b>Summary and Open Questions</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“<br/>Johannisplatz 26, 07743 Jena</i>                      |
| <b>Thursday, July, 28</b>  |   |
| 09:00 – 16:00              | <b>Simulation: Security Council Meeting I</b><br><i>Marcel Schmeer, Planpolitik<br/>Auditorium Zur Rosen<br/>Johannisstraße 13, 07743 Jena</i>      |

| <b>Friday, July, 29</b> |   |
|-------------------------|---|
| 09:00 – 13:00           | <b>Simulation: Security Council Meeting II</b><br><i>Marcel Schmeer, Planpolitik</i><br><i>Auditorium Zur Rosen</i><br><i>Johannisstraße 13, 07743 Jena</i> |
| 13:00 – 14:00           | <b>Lunch Break</b>  |
| 14:00 – 15:00           | <b>Concluding Discussion</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“</i><br><i>Johannisplatz 26, 07743 Jena</i>                             |
| 15:15 – 16:00           | <b>Feedback and Evaluation</b><br><i>Internationales Centrum Jena „Haus auf der Mauer“</i><br><i>Johannisplatz 26, 07743 Jena</i>                           |

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## Required Readings

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You are expected to read the required reading(s) for each session and be able to summarize them orally if necessary. The readings form the basis for our interactions within the individual sessions in which all participants should actively participate. Please ask yourself for each text what it is trying to tell. Also, consider the session's subject and the text's structure. With this in mind, the central statements of the texts should become apparent.

### **Welcome and Introduction – Thursday, July, 21**

- Hanhimäki, Jussi M. (2015): *The United Nations. A Very Short Introduction*, 2<sup>nd</sup> edition, Oxford University Press: Oxford. *\*This text is only needed if you are not familiar with the principal bodies of the United Nations.*
- Williams, Paul D./Bellamy, Alex J. (2021): *Understanding Peacekeeping*, 3<sup>rd</sup> edition, Polity: Cambridge, pp. 11–28.
- Williams, Paul D./Bellamy, Alex J. (2021): *Understanding Peacekeeping*, 3<sup>rd</sup> edition, Polity: Cambridge, pp. 29–49.

### **The History and Evolution of UN Peace Operations – Thursday, July, 21**

- Williams, Paul D./Bellamy, Alex J. (2021): *Understanding Peacekeeping*, 3<sup>rd</sup> edition, Polity: Cambridge, pp. 53–64.
- Williams, Paul D./Bellamy, Alex J. (2021): *Understanding Peacekeeping*, 3<sup>rd</sup> edition, Polity: Cambridge, pp. 65–86.
- Williams, Paul D./Bellamy, Alex J. (2021): *Understanding Peacekeeping*, 3<sup>rd</sup> edition, Polity: Cambridge, pp. 87–110.

### **The UN Security Council and UN Peace Operations – Friday, July, 22**

- Luck, Edward C. (2006): *UN Security Council. Practice and Promise*, Routledge: London and New York, pp. 16–28.
- Gifkins, Jess (2021): *Beyond the Veto. Roles in UN Security Council Decision-Making* *Global Governance* 27(1), pp. 1–24.

### **The Purposes of UN Peace Operations – Friday, July, 22**

- Williams, Paul D./Bellamy, Alex J. (2021): Understanding Peacekeeping, 3<sup>rd</sup> edition, Polity: Cambridge, pp. 113–129.
- Williams, Paul D./Bellamy, Alex J. (2021): Understanding Peacekeeping, 3<sup>rd</sup> edition, Polity: Cambridge, pp. 130–150.
- Williams, Paul D./Bellamy, Alex J. (2021): Understanding Peacekeeping, 3<sup>rd</sup> edition, Polity: Cambridge, pp. 151–177.
- Williams, Paul D./Bellamy, Alex J. (2021): Understanding Peacekeeping, 3<sup>rd</sup> edition, Polity: Cambridge, pp. 178–199.
- Williams, Paul D./Bellamy, Alex J. (2021): Understanding Peacekeeping, 3<sup>rd</sup> edition, Polity: Cambridge, pp. 200–220.
- Williams, Paul D./Bellamy, Alex J. (2021): Understanding Peacekeeping, 3<sup>rd</sup> edition, Polity: Cambridge, pp. 221–242.

### **Principles and Guidelines for UN Peace Operations – Monday, July, 25**

- Department of Peacekeeping Operations/Department of Field Support (2008): United Nations Peacekeeping Operations. Principles and Guidelines, New York.

### **The Structure and Functioning of UN Peace Operations – Monday, July, 25**

- Rietjens, Sebastiaan/Ruffa, Chiara (2019): Understanding Coherence in UN Peacekeeping. A Conceptual Framework, in: International Peacekeeping 26(4), pp. 383–407.
- de Coning, Cedric (2008): The United Nations and the Comprehensive Approach (= DIIS Report 14), Danish Institute for International Studies: Copenhagen.

### **Evaluating UN Peace Operations – Tuesday, July, 26**

- Maley, William (2013): Introduction. Peace Operations and Their Evaluation, in: Druckman, Daniel/Diehl, Paul F. (Eds): Peace Operation Success. A Comparative Analysis, Martinus Nijhoff: Leiden and Boston, pp. 1–10.
- Diehl, Paul F./Druckman, Daniel (2013): Peace Operation Success. The Evaluation Framework, in: Druckman, Daniel/Diehl, Paul F. (Eds): Peace Operation Success. A Comparative Analysis, Martinus Nijhoff: Leiden and Boston, pp. 11–28.
- Bellamy, Alex J./Williams, Paul D. (2013): Local Politics and International Partnerships. The UN Operation in Côte d'Ivoire (UNOCI), in: Druckman, Daniel/Diehl, Paul F. (Eds): Peace Operation Success. A Comparative Analysis, Martinus Nijhoff: Leiden and Boston, pp. 55–84.

### **Challenges to UN Peace Operations – Wednesday, July, 27**

- Williams, Paul D./Bellamy, Alex J. (2021): Understanding Peacekeeping, 3<sup>rd</sup> edition, Polity: Cambridge, pp. 245–265.
- Williams, Paul D./Bellamy, Alex J. (2021): Understanding Peacekeeping, 3<sup>rd</sup> edition, Polity: Cambridge, pp. 307–323.
- Williams, Paul D./Bellamy, Alex J. (2021): Understanding Peacekeeping, 3<sup>rd</sup> edition, Polity: Cambridge, pp. 325–342.

- Williams, Paul D./Bellamy, Alex J. (2021): Understanding Peacekeeping, 3<sup>rd</sup> edition, Polity: Cambridge, pp. 343–361.

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## Assessment, Course Infrastructure, and Good Scientific Practice

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### Assessment

The grading of the summer school is based on a portfolio of smaller performances. These include a group presentation (25 percent), a position paper concerning the debate in the UN Security Council (20 percent), your actual performance during the simulation (25 percent), and an essay (30 percent) in which you reflect on one or more of the issues we dealt with during the summer school.

The presentation should not exceed 20 minutes and deal with one of the [following issues](#). The position paper comprises 1.5 to 2 pages and will be written in the state delegations. Your performance during the simulation will be assessed on various factors, such as the number of speeches you deliver, your involvement in (hidden) negotiations, and the plausibility of your negotiation position. The essay shall not exceed 3 pages and must be submitted after the summer school.

### Course Infrastructure

Since not all participants can access the FSU's Moodle platform, all documents and texts can be found in a [GoogleDrive](#) folder. Furthermore, we will use a [MiroBoard](#) to work collaboratively and record questions and insights. Unfortunately, everyone needs to create a free account to access the board. Against this background, a sufficient number of laptops or tablets must always be available so that 2 to 3 people can work on one device.

### Good Scientific Practice

The norms and rules of good scientific practice apply to all aspects of the summer school. All citations and paraphrases must be appropriately marked, and all sources fully cited (e.g., [guidelines on the Institute's homepage](#)). Plagiarism – when texts and thoughts of others are knowingly or unknowingly taken over verbatim or paraphrased without properly marking – is completely unacceptable and will automatically result in failing the course.

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## Recommended Readings

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### Overview

- Bathilda Silva (2022): *United Nations Peace Operations*, Murphy & Moore: New York.
- de Coning Cedric/Mateja, Peter (Eds.) (2019): *United Nations Peace Operations in a Changing Global Order*, Palgrave Macmillan: Basingstoke.
- de Coning, Cedric/Aoi, Chiyuki Aoi/Karlsrud, John (Eds.) (2017): *UN Peacekeeping Doctrine in a New Era. Adapting to Stabilisation, Protection and New Threats*, Routledge: London and New York.
- Diehl, Paul F./Balas, Alexandru (2014): *Peace Operations*, 2<sup>nd</sup> edition, Polity: New York.
- Doyle, Michael W./Sambanis, Nicholas (2006): *Making War & Building Peace*. United Nations Peace Operations, Princeton University Press: Princeton and Oxford.
- Fernández-Sánchez, Pablo A. (2018): *Peacekeeping. Global Perspectives, Challenges, and Impacts*, Nova Science: New York.
- Howard, Lise M. (2009): *UN Peacekeeping in Civil Wars*, Cambridge University Press: Cambridge.
- Isely, Efram R. (2010): *United Nations Peacekeeping in the 21st Century*, Nova Science: New York.
- Karlsrud, John (2018): *The UN at War. Peace Operations in a New Era*, Palgrave Macmillan: Basingstoke.
- Koops, Joachim A./Tardy, Thierry/MacQueen, Norrie/Williams, Paul D. (Eds.) (2015): *The Oxford Handbook of United Nations Peacekeeping Operations*, Oxford University Press: Oxford.
- Seaman, Kate (2014): *Un-tied Nations. The United Nations, Peacekeeping and Global Governance*, Ashgate: Farnham.
- Thakur, Ramesh (2016): *The United Nations, Peace and Security. From Collective Security to Responsibility to Protect*, 2<sup>nd</sup> edition, Cambridge University Press: Cambridge.
- Weiss, Thomas G./Daws, Sam (Eds.) (2008): *The Oxford Handbook on the United Nations*, Oxford University Press: Oxford.
- Williams, Paul D./Bellamy, Alex J. (2021): *Understanding Peacekeeping*, 3rd edition, Polity: Cambridge.
- Yamashita, Hikaru (2017): *Evolving Patterns of Peacekeeping. International Cooperation at Work*, Lynne Rienner: Boulder and London.

### Selected Issues

- Bellamy, Alex J./Williams, Paul D. (2013): *Providing Peacekeepers. The Politics, Challenges, and Future of United Nations Peacekeeping Contributions*, Oxford University Press: Oxford and New York.
- Blair, Robert A. (2021): *Peacekeeping, Policing, and the Rule of Law after Civil War*, Cambridge University Press: Cambridge, New York, Melbourne and others.
- Cockayne, James/Lupel, Adam (Eds.) (2013): *Peace Operations and Organized Crime. Enemies or Allies?*, Taylor & Frances London: London.
- de Carvalho, Benjamin/Sending, Ole J. (Eds.) (2012): *The Protection of Civilians in UN Peacekeeping. Concept, Implementation and Practice*, Nomos: Baden-Baden.
- Dou, Marc G. (2018): *Reforming 21st-Century Peacekeeping Operations. Governmentalities of Security, Protection, and Police*, Routledge: London and New York.
- Druckman, Daniel/Diehl, Paul F. (Eds): *Peace Operation Success. A Comparative Analysis*, Martinus Nijhoff: Leiden and Boston.
- Dumas, Lloyd J. (2011): *The Peacekeeping Economy. Using Economic Relationships to Build a More Peaceful, Prosperous, and Secure World*, Yale University Press: New Haven.
- Feinberg, Benjamin C./Hayes, Bradd C./Krasno, Jean E./Daniel, Donald C. (2003): *Leveraging for Success in United Nations Peace Operations*, Praeger:

- Foley, Conor (2017): *UN Peacekeeping Operations and the Protection of Civilians. Saving Succeeding Generations*, Cambridge University Press: Cambridge.
- Fortna, Virginia P. (2008): *Does Peacekeeping Work? Shaping Belligerents' Choices after Civil War*, Princeton University Press: Princeton.
- Freedman, Rosa/Lemay-Hébert, Nicolas/Wills, Siobhán (2021): *The Law and Practice of Peacekeeping. Foregrounding Human Rights*, Cambridge University Press: Cambridge, New York, Melbourne and others.
- Heininger, Janet Elaine (1994): *Peacekeeping in Transition. The United Nations in Cambodia*, Twentieth Century Fund Press:
- Howard, Lise M. (2019): *Power in Peacekeeping*, Cambridge University Press: Cambridge, New York, Melbourne and others.
- Junk, Julian/Mancini, Francesco/Seibel, Wolfgang/Blume, Till (Eds.) (2017): *The Management of UN Peacekeeping. Coordination, Learning, and Leadership in Peace Operations*, Lynne Rienner: Boulder and London.
- Karlsrud, John (2018): *Norm Change in International Relations. Linked Ecologies in UN Peacekeeping Operations*, Routledge: London and New York.
- Kaushlesh, Anjali (2021): *Incredible Commitments. How UN Peacekeeping Failures Shape Peace Processes*, Cambridge University Press: Cambridge and New York.
- Koops, Joachim A./Tercovich, Giulia (2018): *European Approaches to United Nations Peacekeeping. Towards a Stronger Re-Engagement?*, Routledge: London and New York.
- Lata, Leenco (2012): *Peacekeeping as State Building. Current Challenges in the Horn of Africa*, Red Sea Press: Trenton.
- Levine, Daniel (2014): *The Morality of Peacekeeping*, Edinburg University Press: Edinburg.
- Lidén, Kristoffer/Mac Ginty Roger/Richmond, Oliver P. (2009): *Liberal Peacebuilding Reconstructed*, Taylor & Francis: Abingdon.
- Lieberman, Osee R. (2019): *Failures and Successes of Peacekeeping in Africa*, Lulu: Morrisville.
- MacQueen, Norrie (2011): *The United Nations, Peace Operations and the Cold War*, Pearson Longman: London.
- Martin-Brul, Sarah-Myriam (2016): *Evaluating Peacekeeping Missions. A Typology of Success and Failure in International Interventions*, Routledge: London and New York.
- McLaughlin, Rob (2009): *United Nations Naval Peace Operations in the Territorial Sea*, Brill: Leiden.
- Nadin, Peter/Cammaert, Patrick/Popovski, Vesselin (2015): *Spoiler Groups and UN Peacekeeping*, Routledge: Abingdon.
- Neudorfer, Kelly (2015): *Sexual Exploitation and Abuse in UN Peacekeeping. An Analysis of Risk and Prevention Factors*, Lexington Books: Lanham.
- Senner, Torsten/Stephan Mergenthaler/Philipp Rotmann (2011): *The New World of UN Peace Operations. Learning to Build Peace?*, Oxford University Press: Oxford.
- Sheehan, Nadège (2011): *The Economics of UN Peacekeeping*, Routledge: London.
- Simic, Olivera (2012): *Regulation of Sexual Conduct in UN Peacekeeping Operations*, Springer: Berlin and Heidelberg.
- Sloan, James (2011): *The Militarisation of Peacekeeping in the Twenty-First Century*, Hart: Oxford.
- Tadjbakhsh, Shahrbanou (2011): *Rethinking the Liberal Peace. External Models and Local Alternatives*, Routledge: London.
- Tomforde, Maren (2010): *Peacekeeping and Culture*, Taylor & Francis: Abingdon.
- Weinlich, Silke (2014): *The UN Secretariat's Influence on the Evolution of Peacekeeping*, Palgrave Macmillan: Basingstoke.
- Westendorf, Jasmine-Kim (2020): *Violating Peace. Sex, Aid, and Peacekeeping*, Cornell University Press: Ithaca and London.